



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Serial No. 10/690,877

Applicants: Michael A. Martin *et al*

Group Art Unit: 3753

Filing Date: October 22, 2003

Title: HEAT EXCHANGER, METHOD OF FORMING A SLEEVE WHICH MAY BE  
USED IN THE HEAT EXCHANGER, AND A SLEEVE FORMED BY THE METHOD

Attorney Docket No.: 60680-743

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

In connection with the U.S. patent application identified above, applicant wishes to draw to the examiner's attention the following art, but no representation is made that a comprehensive search has been made or that no better art exists.

Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (two sheets). It is requested that each of these items be considered, made of record in the prosecution history of the application, and appear among the references cited on any patent to issue from the application.

Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97 (b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97 (c)(2) and 37 C.F.R. § 1.17 (p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400.

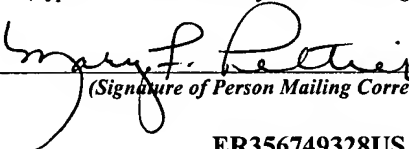
EXECUTED at Toronto, Ontario, Canada, this 20<sup>th</sup> day of May 2004.

Respectfully submitted,

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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Martin, et al.			Docket No. 60,680-0743	
Serial No. 10/690,877	Filing Date 10/22/03	Examiner Unassigned	Group Art Unit 3753	
Invention: <b>HEAT EXCHANGER, METHOD OF FORMING A SLEEVE WHICH MAY BE USED IN THE HEAT EXCHANGER, AND A SLEEVE FORMED BY THE METHOD</b>				
I hereby certify that the following correspondence:  <div style="border: 1px solid black; padding: 5px; min-height: 40px;">INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449</div> <i>(Identify type of correspondence)</i>  is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on  05/21/04 <i>(Date)</i>  <div style="text-align: right;"><div>Mary F. Peltier <i>(Typed or Printed Name of Person Mailing Correspondence)</i></div><div> <i>(Signature of Person Mailing Correspondence)</i></div><div>ER356749328US <i>("Express Mail" Mailing Label Number)</i></div></div>				
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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE				Attorney Docket No. 60680-743		Serial No. 10/690,877	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)				Applicants: Martin <i>et al</i>			
				Filing Date October 22, 2003		Group Art Unit: 3753	
U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)	
	1,344,105	06/22/20	Vance				
	2,115,441	04/26/38	Black				
	2,617,634	02/28/48	Jendrassik				
	3,554,150	01/12/71	Goetschius, <i>et al.</i>				
	4,470,455	09/11/84	Sacca				
	4,696,342	09/29/87	Yamauchi <i>et al.</i>				
	4,742,866	05/10/88	Yamanaka <i>et al.</i>				
	4,987,955	01/29/91	Bergqvist <i>et al.</i>				
	5,078,209	01/07/92	Kerkman <i>et al.</i>				
	5,088,552	02/18/92	Raunio				
	5,182,856	02/02/93	Armbruster				
	5,398,751	03/21/95	Blomgren				
	5,765,632	06/16/98	Gire				
	5,944,094	08/31/99	Kinney, Jr. <i>et al.</i>				
	5,992,510	11/30/99	Kallrot				
	6,016,865	01/25/00	Blomgren				
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	6,617,952	01/02/01	Downing				

## FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED
<p>* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		